

**18 NCAC 06A .2051 PROVIDING DOCUMENTS TO PROSPECTIVE INVESTORS AND INVESTORS**

The issuer shall provide a prospective investor or investor with the disclosure document that it filed with the Administrator at the time it makes an offer or sale of a security.

*History Note: Authority G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103, s.4.(a); Eff. April 1, 2017.*